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PTO/SB/21 (08-00)
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| | | | |
|--|----------------------|------------------------|-----------|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 09/753,978 | |
| | Filing Date | January 3, 2001 | |
| | First Named Inventor | Wayne R. Lumpkin | |
| | Group Art Unit | 3682 - Cnfrm #1708 | |
| | Examiner Name | | |
| Total Number of Pages in This Submission | 6 | Attorney Docket Number | AVID.13-3 |

| ENCLOSURES (check all that apply) | | |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Part 2 - Notice to File Missing Parts Copy of Jan. 3, 2001 Postcard Copy of Jan. 3, 2001 Declaration |
| Remarks | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|---|
| Firm or Individual name | Swanson & Bratschun, L.L.C. Thomas D. Bratschun, #32,966 |
| Signature | |
| Date | March 8, 2001 |

| CERTIFICATE OF MAILING | | | |
|--|---------------|------|---------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: March 8, 2001 | | | |
| Typed or printed name | Cindy Bennett | Date | March 8, 2001 |
| Signature | | | |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|-------------|---|---|----------------|
| APPLICANT: | WAYNE R. LUMPKIN | } | EXAMINER: |
| SERIAL NO.: | 09/753,978 | | ART UNIT: 3682 |
| FILED: | JANUARY 3, 2001 | | CNFRM NO. 1708 |
| TITLE: | FLOATING CABLE STOP FOR CABLE ACTUATED BICYCLE COMPONENT | | |

Assistant Commissioner for Patents
Washington, D.C. 20231
Box: Missing Part

Dear Sir:

REPLY TO NOTICE TO FILE MISSING PARTS

The applicant hereby replies to the "Notice to File Missing Parts of Application - Filing Date Granted," mailed February 20, 2001. Part 2 of the Notice is enclosed. In reply to the Notice, the original fully executed Declaration and Power of Attorney was submitted with the filing of the application on January 3, 2001, a copy of the Declaration and our return postcard are enclosed.

Withdraw of the Notice to File Missing Parts is respectfully requested.

The undersigned hereby authorizes the charge of any deficiency to deposit account no. 19-5117.

Respectfully submitted,

Thomas D. Bratschun, #32,966
Swanson & Bratschun, L.L.C.
1745 Shea Center Drive, Suite 330
Highlands Ranch, Colorado 80129
(303) 268-0066
(303) 268-0065 Facsimile

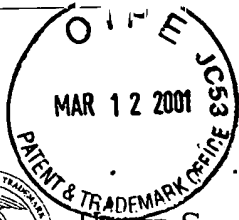
37 CFR 1.8

CERTIFICATE OF MAILING

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Assistant Commissioner for Patents, Washington, D.C. 20231, Box Missing Part, on March 8, 2001.

Signature:
Name:

Cindy Bennett



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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/753,978 | 01/03/2001 | Wayne R. Lumpkin | AVID.13-3 |

25871
SWANSON & BRATSCHUN L.L.C.
1745 SHEA CENTER DRIVE
SUITE 330
HIGHLANDS RANCH, CO 80129

CONFIRMATION NO. 1708

FORMALITIES LETTER



OC000000005779320

Date Mailed: 02/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

03/14/2001 JADD01 00000106 195117 09753978

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A copy of this notice MUST be returned with the reply.

AA
Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE